



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor: Shek Fai Lau et al.
Appl. No.: 10/661,988
Confirm. No.: 9331
Filed: September 12, 2003
Title: Ion Emitting Air-Conditioning Devices with Electrode
Cleaning Features

PATENT APPLICATION

Art Unit: 1753
Examiner: Unknown
Docket No. SHPR-01361USE

CERTIFICATE OF MAILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on the date shown below.

By: Linda Saunders
Linda Saunders

Dated: November 5, 2004

**SECOND INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.56**

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

- X Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with MPEP §609.
- X The present application is being/was filed after June 30, 2003. In accordance with the pre-Official Gazette waiver of 37 CFR 1.98 (a)(2)(i) posted at pac/dapp/opla/preognotice/idswouscopies.htm, copies of cited U.S. patents and publications are not enclosed. Copies of cited foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR §1.98(a)(2), as still required.
- The present application was filed prior to June 30, 2003. A copy of each cited document as required by 37 CFR §1.98 is enclosed.

This statement should be considered because:

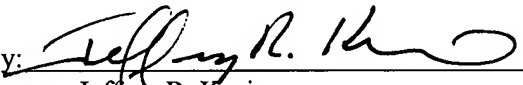
X 37 CFR §1.97(b). This statement qualifies under 37 CFR §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under §1.53(d);
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 CFR §1.114.

X **Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325.

Respectfully submitted,

Date: November 5, 2004

By: 
Jeffrey R. Kurin
Reg. No. 41,132

Customer No. 23910
Fliesler Meyer LLP
4 Embarcadero Center, Fourth Floor
San Francisco, California 94111
415/362-3800 Telephone
415/362-2928 Facsimile

FORM PTO-1449

(Substitute)

US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Attorney Docket Number

SHPR-01361USE

Serial No.

10/661,988

INFORMATION DISCLOSURE CITATION

BY APPLICANT

Applicant

Shek Fai Lau et al.

Filing Date

September 12, 2003

Group Art Unit

1753

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PENDING U.S. PATENT APPLICATIONS

Examiner Initial		Application Number	Filing Date	Inventor	Petition to Expunge	
					Yes	No
	1.	60/104,573	10/16/1998	Krichtafovitch		X

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Publication Date	Country	Translation	
					Yes	No
	2.	WO92/05875 A1	4/16/1992	International	✓	
	3.	WO96/04703 A1	2/15/1996	International	✓	
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	9.	CN 2111112 U	7/29/1972	China	✓	
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FORM PTO-1449 (Substitute)		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket Number SHPR-01361USE		Serial No. 10/661,988	
INFORMATION DISCLOSURE CITATION BY APPLICANT				Applicant Shek Fai Lau et al.			
				Filing Date September 12, 2003		Group Art Unit 1753	

	14.	GB 643,363	9/20/1950	Great Britain	✓	
	15.	JP S51-90077	8/6/1976	Japan	✓	
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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
NonAsterisked Items: Copies not submitted because they were submitted in prior application _____, filed _____, and relied upon under 35 USC §120.